GUIDANCE NOTEBOOK

KEN ORTH

CHIEF, PLANNING DIVISION

PLANNING GUIDANCE NOTEBOOK - What is it?

- Corps of Engineers Regulation ER 1105-2-100
- Compilation of planning guidance in one location
- Most recent version dated 22 April 2000
- Updated to reflect policies and procedures enacted during the last ten years

GENERAL (Structure)

- General guidance in main document
- Detailed guidance in appendices
- Main document from 700 to 80 pages
- Guidance is located on Corps website

APPENDICES

- Appendix A - References 3 pp.
- Appendix B - Public Involvement 8 pp.
- Appendix C - Envn. Compliance 55 pp.
- Appendix D - Economics & Social 41 pp.
- Appendix E - Missions & Eval. 309 pp.
- Appendix F - Continuing Auth. 27 pp.
- Appendix G - Pln. Reports & Progs. 85 pp.
- Appendix H - Review & Approval 12 pp.

GENERAL (Content)

- Emphasis on planning principles and process, not administrative procedures
- Planning steps are described
- Policies & procedures for each mission are in one location
- Types of studies, reports, procedures described
- Incorporates summary cost sharing table for all missions
- Updates CAP Guidance
- Appendices support main document with detailed guidance

PRINCIPLES AND GUIDELINES

- The ER reaffirms P&G as the basis for all Corps water resources planning.
- P&G refers to the *Economic and Environmental Principles and Guidelines for Water and Related Land Resources Implementation Studies.*
- P&G are intended to ensure proper and consistent planning by Federal agencies

PRINCIPLES AND GUIDELINES

- Seek to balance economic development and environmental needs
- Formulation of NED plan is still required
- Emphasis on reasonableness, sound judgment, common sense
- Systematic, comprehensive treatment of watershed resources

ECOSYSTEM RESTORATION

- Restore ecosystem structure, function and processes
- Projects may be single or multiple purpose
- National Ecosystem Restoration (NER) Plan
- Value of outputs shall exceed cost
- Metrics include but not limited to habitat units

PARTNERSHIPS

- Investment in water resources from Nation's perspective
- Partner successfully with sponsors and stakeholders
- Partner as both lead and cooperating agency
- Incorporate public involvement from start
- Responsive to national, state, and local concerns
- Range of programs for timely, cost-effective solutions

WATERSHEDS

- All planning should incorporate a watershed perspective
- Maximize economic and environmental value of watershed resources
- Systematic and comprehensive treatment of watershed resources
- Sustainability of future watershed resources

ALTERNATIVES

- Innovative solutions are integral to the planning process
- Equal consideration of structural and non-structural measures
- NED, NER, NED/NER and Locally Preferred Plans
- Plans not limited to those implemented by the Corps
- Vision is the foundation of sound planning

COMMON SENSE

- Ensure all reasonable plans are evaluated
- Reasonably maximize net benefits
- Use of the formulation criteria: completeness, effectiveness, efficiency and acceptability
- Emphasis on common sense approach to planning, as reflected in P&G

POLICY COMPLIANCE (Congressional Authorization)

- Districts are responsible for policy compliance and independent technical review
- MSCs are responsible for assuring policy compliance
- HQUSACE is responsible for policy review, approval and certification
- HQUSACE policy support available from study initiation to completion
- Policy issues raised and resolved as early as possible in successful process

DISTRICT COMMANDER RESPONSIBILITY

- Quality and accuracy of study processes
- Reports, including their content
- Presentation of reports and their findings to higher authority

OTHER SIGNIFICANT CHANGES

- Transmittal of documents to CECW-B for processing in HQUSACE (Copies of feasibility reports to CECW-P)
- Renames the Project Study Plan as a Project Management Plan
- Incorporates analytical requirements to account for risk and uncertainty